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| FORM PTO-1390 (REV 1-98) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 89277.0039 | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NUMBER (if an invention under 35 U.S.C. 101) 10/506302 Not assigned | |
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| INTERNATIONAL APPLICATION NO. PCT/JP03/10179 | | INTERNATIONAL FILING DATE 08 August 2003 (08/08/03) | | PRIORITY DATE CLAIMED 09 August 2002 (08/09/02) | |
| TITLE OF INVENTION ROTARY ELECTRIC MACHINE | | | | | |
| APPLICANT(S) FOR DO/EO/US Hiroyuki ISHIHARA, Haruyoshi HINO, Shinya NAITO, Keiko MUROTA, Junji TERADA and Tomohiro ONO | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11. to 16. below concern document(s) or information included: | | | | | |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: | | | | | |

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| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.55) 10/506302 Not assigned | INTERNATIONAL APPLICATION NUMBER PCT/JP03/10179 | ATTORNEY'S DOCKET NUMBER 89277.0039 |
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17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of JPO\$[1080]

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$[920]

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$[770]

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$[730]

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$[100]

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

| CLAIMS | NUMBER FILED | NUMBER ALLOWED | NUMBER EXTRA | RATE |
|---|--------------|----------------|--------------|------------|
| Total claims | 8 | 20 | 0 | X \$18.00 |
| Independent claims | 2 | 3 | 0 | X \$86.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | X \$290.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | 1210.00 |

☐ Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

CALCULATIONS - PTO USE ONLY

| | | |
|--|-----------|--|
| | \$ 920.00 | |
| | \$ 0 | |
| | \$ 0 | |
| | \$ 0 | |
| | \$ 290.00 | |
| | 1210.00 | |
| | 1210.00 | |
| | \$ 0 | |
| | 1210.00 | |
| | \$ 40 | |
| | 1250.00 | |

| | | |
|--|---------------|----|
| | Amt. Refunded | \$ |
| | Amt. charged | |

\$

a. ☒ Checks in the amounts of \$1210.00 and \$40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 50-1314 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

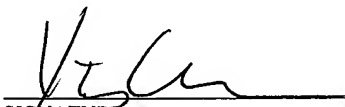
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1314. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

☒ Customer Number 000026021 →

HOGAN & HARTSON L.L.P.
 500 South Grand Avenue, Suite 1900
 Los Angeles, California 90071
 Phone: 213-337-6700
 Fax: 213-337-6701


 SIGNATURE
 Ying Chen
 REG.NO. 50,193
 EV 507 669 782 US
 September 1, 2004

10/506302

PATENT

Attorney Docket No: 89277.0039

DT09 Rec'd PCT/PTO 01 SEP 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroyuki ISHIHARA et al.

Serial No: Not assigned

Filed: September 1, 2004

For: Rotary Electric Machine

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 507 669 782 US

Date of Deposit: September 1, 2004

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 1210.00 as filing fee
- ☒ patent application (11 page(s) of specification; 7 claim(s); 1 page(s) of abstract
- ☒ 7 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to YAMAHA HATSUDOKI KABUSHIKI KAISHA.
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with 5 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date: September 1, 2004

Hogan & Hartson, LLP

500 South Grand Avenue, Suite 1900

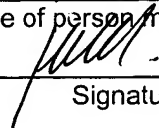
Los Angeles, California 90071

Telephone: 213-337-6700

Facsimile: 213-337-6701

Reynaldo Gallardo

Name of person mailing papers


Signature